

## AUTHORITY TO RETAIN FUND AS RUNNING ACCOUNT

To,

DP TradeKING Private Limited

3<sup>rd</sup> Floor, Silver Oak,

Opp. Panchal Hall, Town Hall Road,

Anand - 388 001. Gujarat.

Date : 

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Client Code : \_\_\_\_\_

Ref : Authority to retain fund towards Margin in Cash Segment, F&amp;O Segment, Currency Derivatives, Mutual Fund &amp; any other segment.

I/we have made application with requisite documents to you to open trading account for execution of trades on my/ our behalf as per instruction given by me/us time to time in various segments like cash and/or F&O and/or Currency derivatives and/or NSE-MFSS of various stock exchanges like National Stock exchange of India Ltd (NSE) and/or Bombay stock exchange Ltd. (BSE) etc. (hereinafter called as 'exchange(s)') as my/our broker. Notwithstanding anything contrary, I hereby request you to maintain my accounts you on a running basis. I may not be in a position to settle my trades within the exchange specified settlement schedule. Hence I request you to open running account with you. In this connection I understand and agree to the following:

1. You can retain the funds with you, received as payout from the exchanges on my behalf. The same can be used towards margin for my future transactions or outstanding positions, if any.
2. You can retain the securities with you, received as payout from the exchanges on my behalf. The same can be used towards margin/collaterals for my future transaction or against outstanding positions, if any.
3. In case of any sale transaction done by me, you can automatically, deliver the shares to the exchanges towards payin, out of my shares if any lying with you, on my behalf.
4. In case of any purchase transaction done by me/us, you can automatically, make the funds payin to the exchange, out of the credits lying in my account, on my behalf.
5. To retain the securities received by DPTKPL from me on my behalf from the exchanges on payout or otherwise for my debit balance/dues/exposure/trading limits/open interest/ various margin or for any other purpose as and when required by DPTKPL or a per any regulations of the exchange. Such retaining/ holding of securities shall be construed as due compliance of the requirement of the exchange and SEBI. Further, DPTKPL has the sole discretion and authority
  - (i) To use / transfer the above security to the clearing corporation / clearing member / professional clearing member / exchanges / banks or other entities for the purpose of early payin/ margin/additional base capital / raising of finance against these securities or otherwise
  - (ii) to dispose / sell the above securities to meet any monetary/ other dues/ obligations not fulfilled by me/us towards DPTKPL / the exchanges.
6. In case of any debit balance in my account, you can square off my securities, held with you by me, to the extent of my debit balance, without any notice to me/us.
7. In case I require funds of securities, I will inform you of the same in writing, after which you can make the payments/ transfer the securities to me.
8. I will not hold DPTKPL responsible for any losses/damages arising out of such transfers and or sale done by DPTKPL, on my behalf, pursuant to these instructions.
9. No interest will be paid to me for maintaining running account with DPTKPL, on the credit balance available in my account.
10. This running account authorisation shall continue to be in force until it is revoked by me/us by giving notice in writing to DPTKPL at its registered head office. I/we may revoke this authorization at any time. However any such revocation shall come into effect only after such revocation in writing is received by DPTKPL at its registered head office in Anand under proper acknowledgment, to process the revocation and make necessary changes in its record/system cancelling the authorisation. Notwithstanding any such revocation, all action taken by DPTKPL pursuant to this authorisation prior to its cancellation as aforesaid shall be fully valid and effective and shall be binding on me/us. Settlement of funds and securities on (Tick any one from below option(S))
  - Quarterly Basis
  - Monthly Basis
11. Notwithstanding the monthly/quarterly settlement chosen above, to avoid administrative/operational difficulties authorise DPTKPL to retain an amount upto Rs.10000.
12. I/we further authorize you to inter transfer, adjust balance, pass journal voucher for my/our cash margin, payout of funds my/our running ledger balance between various segment of various exchanges in my/our various account held with you and vice versa. I/we hereby willfully issue standing instructions for transfer of credit/debit balance from market to market/ premium account to margin account vice versa. I/we hereby authorise you to withhold my/our fund payout (if any) towards margin and open interest, as and when warranted and similarly debit my/our account with you for fresh mark to market differences, margin etc on a daily basis, if required.
13. I/we authorise you to debit my/our account for all necessary charges in respect of transfer of funds and securities from your account to meet my/our pay in obligations or for transferring such fund and securities into my/our bank account/ demat account respectively as per my/our specific instruction.
14. I/we further authorise you to pledge the securities in favor of clearing corporation, stock exchanges etc. for margin and/ or pay in purpose if so required.

I/we Understand agree to the above.

C	[Signature]
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